

# ImpactAssets Donor Advised Fund Account Application

Please complete this application and email [clientservice@impactassets.org](mailto:clientservice@impactassets.org) to request a secure messaging link. For paper submissions, please send the application to us at **4340 East West Highway, Suite 210, Bethesda, MD 20814**.

Please indicate the name of the ImpactAssets contact (if any) that you have been working with:

\_\_\_\_\_  
Name of ImpactAssets Contact

## Authorized Officer

Identify the individuals who are authorized to view fund information and recommend grants/investment allocations on behalf of the organization. Authorized Officers of the account have full and equal rights to recommend grant distributions and to elect the successors of the account. There can be more than two Authorized Officers. *(Please attach additional sheets if necessary.)*

**Authorized Officer** (Primary recipient for all account communication) **Secondary Officer**

Mr.  Mrs.  Ms.  Dr.  Other

Mr.  Mrs.  Ms.  Dr.  Other

\_\_\_\_\_  
Name (First, Middle, Last)

\_\_\_\_\_  
Name (First, Middle, Last)

\_\_\_\_\_  
Social Security No.

\_\_\_\_\_  
Date of Birth

\_\_\_\_\_  
Social Security No.

\_\_\_\_\_  
Date of Birth

\_\_\_\_\_  
Home Address (must not contain P.O. Box)

\_\_\_\_\_  
Home Address (must not contain P.O. Box)

\_\_\_\_\_  
City State Post Code

\_\_\_\_\_  
City State Post Code

\_\_\_\_\_  
Business Phone

\_\_\_\_\_  
Home Phone

\_\_\_\_\_  
Business Phone

\_\_\_\_\_  
Home Phone

\_\_\_\_\_  
Email

\_\_\_\_\_  
Mother's Maiden Name

\_\_\_\_\_  
Email

\_\_\_\_\_  
Mother's Maiden Name

## Account Notifications

You will receive email notifications that your statement is available for download unless you check the box(es) below to receive paper statements. Statements are posted quarterly to our DonorWeb portal. If you select paper statements, you will receive printed annual statements. ImpactAssets does not mail statements on a quarterly basis.

**Authorized Officer:** I wish to receive annual paper statements instead of electronic.

**Secondary Officer:** I wish to receive annual paper statements instead of electronic.

## ImpactAssets Donor Advised Fund Account Name

Please choose a name for your ImpactAssets Donor Advised Fund account. You can name it after yourself, your family, or your cause (for example, "The Castillo Family Philanthropy Fund for Land Conservation"). All outgoing correspondence regarding your account will include the account name and the name and address of the primary Donor(s), unless you request anonymous correspondence.

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ImpactAssets Donor Advised Fund Account Name

## Foundation/Company/Organization Information

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Organization Name

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Primary Telephone

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Mailing Address

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City

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State

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Post Code

## Advisors

If you currently are working with a professional advisor (e.g., financial advisor, CPA, attorney), would you like to give him/her access to your account? There can be more than one advisor. *(Please attach additional sheets if necessary.)*

Yes  No (If NO, please move on to the Contribution Section.)

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Advisor Name

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Title

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Firm

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Mailing Address

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City

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State

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Post Code

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Email

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Daytime Phone

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Broker-Dealer Name (if applicable)

## Advisor Notifications

Your advisor will receive email notifications that your statement is available for download unless you check the box below to receive paper statements.

My advisor wishes to receive annual paper statements instead of electronic.

Select whether your advisor should have Full or Read Only Access.

Full Access  Read Only

## Professionally Managed Account Options

**Professionally Managed Accounts (Available for Accounts with balances of \$500,000 or higher):** Accounts with balances of \$500,000 or higher can nominate their own independent advisor to develop custom investment strategies. If you would like to participate in the Professionally Managed Account program, please check this box.

# Contribution Section

## Initial Contribution

Please note that the minimum initial contribution is \$5,000. Please contact us at [clientservice@impactassets.org](mailto:clientservice@impactassets.org) or 855-482-2946 if you plan to contribute digital tokens, physical share certificates, real estate or other property or non-traditional assets.

### Contributor/Donor Information

Without accurate contributor/donor details, we are unable to sell shares, if applicable, nor are we able to associate the funds with your donor advised fund account. We are required to collect sufficient support to record the contribution and issue an acknowledgement letter. If you do not know the details of your contribution at this time, please complete the Contribution Form once the information is available.

A letter of receipt will be issued by ImpactAssets to the donor(s) listed on this application OR the donor(s) listed below. If the contribution is from a trust or another legal entity, please provide the name and address of the trust/legal entity so that we can accurately document this in the receipt. If the contribution is being processed through another Donor Advised Fund provider, include the name of the provider as the donor (i.e. My Town Community Foundation).

### Please select one of the following two options:

- The Donors listed on this application should be acknowledged for the initial contribution to my/our account.
- The initial contribution will be funded by another individual or entity. If this is the case, please complete the Contributor/Donor details below.

#### Contributor/Donor #1

\_\_\_\_\_  
Name (First, Middle, Last)

\_\_\_\_\_  
Mailing Address

\_\_\_\_\_  
City State Post Code

\_\_\_\_\_  
Email Home Phone

#### Contributor/Donor #2

\_\_\_\_\_  
Name (First, Middle, Last)

\_\_\_\_\_  
Mailing Address

\_\_\_\_\_  
City State Post Code

\_\_\_\_\_  
Email Home Phone

### For Cash Contributions

\$ \_\_\_\_\_

Check

\$ \_\_\_\_\_

Wire

\$ \_\_\_\_\_

Funded by another DAF Provider

(Please make check payable to ImpactAssets and mail it with the completed ImpactAssets Donor Advised Fund account application)

\_\_\_\_\_  
Bank of Origination

**Wire Instructions:** Please contact ImpactAssets at [clientservice@impactassets.org](mailto:clientservice@impactassets.org) or 855-482-2946 for wire instructions.

## For Securities or Mutual Fund Contributions

**Note:** You will need to initiate the transfer of securities with the holding firm. Additional paperwork may be required by your bank or financial institution.

### ImpactAssets Brokerage Account Information:

DTC#: 0164, Code 40

Custodian: Charles Schwab & Co, Inc.

Beneficiary Account Name: ImpactAssets Inc.

Beneficiary Account Number: 7835-8108

## Holding Firm Information

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Name of Firm Holding Assets

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Account Number

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Security Name	Ticker Symbol	Quantity	Approximate \$ Value
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Security Name	Ticker Symbol	Quantity	Approximate \$ Value
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Security Name	Ticker Symbol	Quantity	Approximate \$ Value
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Security Name	Ticker Symbol	Quantity	Approximate \$ Value
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Security Name	Ticker Symbol	Quantity	Approximate \$ Value
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Approximate Total \$ Value

### Notes:

- Shares should be transferred in kind and are not to be liquidated until they are received by ImpactAssets.
- Physical stock certificates may require a Third Party Release Form and additional paperwork. Please contact ImpactAssets for additional information.

# Investment Allocation Section

You may recommend your account be allocated across any of the following Portfolio Investment Options. Percent allocations should total 100%. **Note:** Contributions will be allocated to the Liquid Impact Portfolio if you do not recommend an allocation.

Choose your own allocation by entering the % of the total account next to each option. **Please contact [engagement@impactassets.org](mailto:engagement@impactassets.org) for a copy of the Investment Supplement that provides a description of each investment selection listed below, as well as a full list of investment options that will be available once your account is open.**

## ImpactAssets Managed Strategies Investment Options

All ImpactAssets Managed Strategies have daily liquidity on a best efforts basis.

- \_\_\_\_\_ % **Aggressive Growth Impact Portfolio:** Diversified investment portfolio of global stocks, bonds and private debt & equity
- \_\_\_\_\_ % **Moderate Impact Portfolio:** Balanced portfolio of global stocks, bonds and private debt
- \_\_\_\_\_ % **Conservative Impact Portfolio:** U.S. short-term bonds and globally diversified private debt
- \_\_\_\_\_ % **Community Investment Strategy:** Globally diversified private debt addressing community development issues, targeting conservative growth
- \_\_\_\_\_ % **Liquid Impact Portfolio:** Cash equivalents and short-term fixed income
  
- \_\_\_\_\_ % **Total Allocation**

## Private Debt & Equity Impact Investment Options (with additional fees)

Investment options, returns and fees vary. Check the boxes below for additional information on current availability of an Impact Investment Option. The allocations indicated above will be applied proportionally to 100% of the assets not invested in Private Debt & Equity Impact Investment options.

- Private Debt & Equity Impact Funds:** Private Debt & Equity funds that seek to generate significant social and environmental impact. Please contact [engagement@impactassets.org](mailto:engagement@impactassets.org) for available private debt and equity impact fund options. (\$10,000 minimum recommendation)
- Custom Investments:** Donors source and recommend direct investments in private mission-driven businesses, impact funds and nonprofit organizations. (\$25,000 minimum recommendation)

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Preferred Email

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Preferred Phone

# ImpactAssets Charitable Response Funds

Make your donation go further to support critical needs including wildfire relief, COVID solutions and racial equity. These funds accept donations and uniquely place those charitable dollars as impact investments and grants in high-impact companies, funds and projects – and can be reinvested for impact again and again. Please complete the section below if you would like to donate to the Funds\*.

- \$ \_\_\_\_\_ COVID Response Fund: Stop the Spread Fund
- \$ \_\_\_\_\_ COVID Response Fund: Preserve the Progress Fund
- \$ \_\_\_\_\_ COVID Response Fund: Business and Community Resilience Fund
- \$ \_\_\_\_\_ General COVID Response Fund
- \$ \_\_\_\_\_ Racial Equity and Economic Opportunity Fund
- \$ \_\_\_\_\_ Wildfire Resilience Fund

To learn more about making a recoverable grant (minimums apply), please contact [engagement@impactassets.org](mailto:engagement@impactassets.org).

\* Grants recommended will reduce your account balance indicated in the Contribution Section.

## Impact Areas of Interest

The impact areas listed below represent the United Nations (UN) Sustainable Development Goals (SDGs). The [UN SDGs](#) are "a universal call to end poverty, protect the planet and improve the lives and prospects of everyone, everywhere." Please mark all that apply.

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|---|--|---|
| <input type="checkbox"/> No Poverty               | <input type="checkbox"/> Affordable & Clean Energy             | <input type="checkbox"/> Climate Action                       |
| <input type="checkbox"/> Zero Hunger              | <input type="checkbox"/> Decent Work & Economic Growth         | <input type="checkbox"/> Life Below Water                     |
| <input type="checkbox"/> Good Health & Well-being | <input type="checkbox"/> Industry, Innovation & Infrastructure | <input type="checkbox"/> Life on Land                         |
| <input type="checkbox"/> Quality Education        | <input type="checkbox"/> Reduced Inequality                    | <input type="checkbox"/> Peace, Justice & Strong Institutions |
| <input type="checkbox"/> Gender Equality          | <input type="checkbox"/> Sustainable Cities & Communities      | <input type="checkbox"/> Partnerships for the Goals           |
| <input type="checkbox"/> Clean Water & Sanitation | <input type="checkbox"/> Responsible Consumption & Production  | <input type="checkbox"/> Other _____                          |

## Signatures

I acknowledge that I have read the [Program Circular](#) and agree to the terms and/or conditions described therein. I understand that any contribution, once accepted by ImpactAssets, represents an irrevocable contribution and is not refundable to me. I hereby certify that, to the best of my knowledge, all information presented in connection with this application is accurate, and I will promptly notify ImpactAssets in writing of any changes. (Please attach any additional donor signatures.)

_____	_____	_____/_____/_____
<b>Authorized Officer Signature</b>	<b>Name (Please Print)</b>	<b>Date</b>
_____	_____	_____/_____/_____
<b>Secondary Officer Signature</b>	<b>Name (Please Print)</b>	<b>Date</b>